# **Comparison of Active Sensors for 3D Modeling of Indoor Environments**

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Abstract: 3D perception has known impressive advances in the past 3 years; it corresponds to several technological improvements, plus many new development teams providing open sources. First of all, researchers in Robotics and 3D Perception have made profit of the Kinect sensor; some works were already devoted to 3D cameras, using more expensive Time-of-Flight optical devices. Another common way to acquire dense 3D data, is by scanning the environment by a laser range finder (LRF); as for example, the Hokuyo tilting LRF integrated on the PR2 robot by Willow Garage. To build a dense geometrical model of an indoor environment, several sensors could be selected in order to acquire 3D data. This paper aims at giving some insights on this selection, presenting some pros and cons for Kinect, Hokuyo and ToF optical sensors.

# **1 INTRODUCTION**

Environment modeling has become an essential task for robotics. Particularly for mobile robots, these models are very useful to achieve many and diverse tasks, as for example: to simulate real scenarios, to enable motion planning and mobile robot localization, only to mention some of them. Depending on their use, these models could have different forms and representations, e.g. probabilistic discrete grids for robot navigation on flat ground, 3D meshes or voxel maps for object grasping ...

Nowadays, the construction of dense 3D representations has earned more attention. As any model, 3D models can be represented in different ways, as for example: points and a graph (octree), planar faces, digital elevation maps, surface elements (surfel), etc. Each representation is more suitable or adapted for a specific purpose or application, i.e. it's not the same to model objects in a table than to model large scale environments like cities. Geometrical 3D information are sufficient to locally execute a motion, while appearance data is required for cognition, interpretation...

Beside the variety of representations, multiple sensors can be used to acquired raw data to be fused in these 3D models, such as: stereo-vision systems, Time-of-Flight (ToF) cameras, Laser Range Finders (LRF) over pan and/or tilt platforms, and recently the use of RGB-D cameras, like the Microsoft Kinect sensor. Likewise, each kind of sensors has its advantages and disadvantages, what makes it more suitable for a given application or task.

To build a 3D geometrical model with an accuracy and a resolution required for the planning and the execution of robotic tasks, it is mandatory to acquire a large amount of raw data. Usually ToF cameras or 3D lasers scans allow the construction of dense maps. Nevertheless, their construction is a very hard task. Coupled with the problem of large data storage, 3D modeling from data acquired while moving the sensor in the environment, is often performed as an off line process.

In this work, we are interested in the construction of 3D models from indoor human environments. Our primary goal is to recover large planes describing the rigid environment infrastructure (walls, floor, ceiling...) and some large and moveable objects like doors, tables, etc. Modeling has to be achieved on line from data acquired by sensors embedded on a mobile robot; so it is basically a Simultaneous Localization and Mapping (SLAM) problem. However it is proposed to construct the 3D environment model, separately from the SLAM map, i.e. the classical 2D models (e.g. occupancy grids) built for example by Gmapping or the sparse 3D models (e.g. 3D visual landmarks) built for example by PTAM. Having successful efficient and real-time methods to cope with SLAM, our proposal aims at adding a layer of extra 3D and dense information, consistent with the representation built by an existing SLAM method, but without increasing its complexity.

Three different sensors have been analyzed and characterized to achieve the proposed task: a Kinect RGB-D sensor, a ToF (SR3000) optical camera and a Tilting LRF (Hokuyo). Appearance-based information obtained typically using texture mapping, are not considered in this paper. In the following section, we will describe some of the most interesting related works, followed by a section dedicated to analyze the main characteristics of the mentioned sensors. In section 4, are presented, some evaluations in order to be able to chose the correct sensor for the task, and in section 5 we present experimental results, using the PR2 robot.

### 2 RELATED WORKS

In recent years, 3D modeling and mapping has become one of the most interesting subjects of research all along the world. 3D sensors allow to extract the richness of geometric features, presents in most of the environments. The construction of 3D models could be done in many different ways, depending on the type of environment, the sensor used and applications.

In (Trevor et al., 2012) the problem of 3D modeling is considered as a part of SLAM techniques. In this work, it is used a 3D sensor (a tilting LRF or a Kinect like sensor) to extract 3D planar surfaces, that combined with 2D segments obtained from a 2D scanner at base of a mobile robot, are used to build a map using the GTSAM library (Dellaert and Kaess, 2006). In this way, 2D lines and 3D planes with a high level representation and easy to be annotated with semantic information are a good combination to create an accurate map with high level features.

In (Nüchter and Hertzberg, 2008) as a part of a 6D SLAM method, point clouds are acquired using a rotating LRF and registered using ICP. Planes are extracted from the global 3D point cloud by a RANSAC method and then with the use of a constraint network these planes are semantically annotated, i.e. walls, floor or ceiling.

The problem of environment modeling can be resolved considering that robots are already localized, as in (An et al., 2012). In this work, authors concentrate more on the computational part, by proposing a method for fast planar faces detection using 2D lines extracted from a tilting LRF over a mobile robot. The proposed method works in real-time and only stores the initial and end point of each 2D line to construct the 3D model.

In (Klaess et al., 2012), it is built a 3D map using

a set of 3D laser scanners acquired at different positions (stop-and-go method); poses are provided by the use of the gmapping method (Grisetti et al., 2007). Then, the global point cloud is refined off-line, by ICP methods. Finally using surfels (surface elements) the global dense map is reduced, to be treatable by the robot.

In (Rusu et al., 2009) a pan rotating LRF has been used to acquire a point cloud, that it is used to get a high level semantic model of a kitchen environment. The model is built off-line and it is used a machine learning algorithm to classify objects and labeling them with semantic information.

In (Wolf and Sukhatme, 2008) a tilting LRF has been used to get 3D data, machine learning methods have been applied to classify environment to navigable and non navigable zones. In (Douillard et al., 2010) a LRF has used to build a hybrid 3D outdoor environment model using elevation level and planar faces. As there is also other works use 3D sensors to model objects and for surface reconstruction as in (Newcombe et al., 2011) and (Lai et al., 2011), the modeled objects are used to build semantic maps or for pattern and objects recognition, its applications are generally for image of color and depth recognition or to help robot to recognize and grasp daily used objects.

So, as we have seen, there is many works from here and there that use 3D data for multiple applications from object to environment modeling, we can extend to say cities modeling as generalization for outdoor modeling like (Wolf and Sukhatme, 2008) and (Douillard et al., 2010). Any way, as we has already mentioned our goal is the modeling of large scale indoor or man made environments, where most of works have used a LRF to acquire 3D data.

Other work have concentrated on the evaluation of sensors as in (Sturm et al., 2012) or (Henry et al., 2012), they have studied the case of like Kinect sensor and its use in SLAM. In (Smisek et al., 2011) an evaluation for Kinect ,ToF camera and stereo vision has been done.

The results and the conclusions of the evaluation is different from work to an other, because of the difference between application and the performance needed in each application.

In this work, has been considerate the three sensors: a Kinect like sensor, a ToF camera and a LRF over a tilting platform. We evaluate and present their performances for 3D modeling in large scale indoor environments.

# 3 SENSORS CHARACTERIZATION

Over all the possible characteristics to study, we have focus only on ones that have direct influence to our work, such as: field-of-view, maximal range, resolution, etc. Characteristics, as intrinsic parameters or calibration are not developed along this work.



Figure 1: Tilting laser on PR2 robot.



Figure 2: SR3000 ToF camera.



Figure 3: Microsoft Kinect on a PR2 robot.

### 3.1 Field-of-View and Maximal Range

The most important features to characterize a sensor for 3D environment modeling are: the field-of-view and the maximal range; indeed they are two different features, but as both have direct influence in the effective area covered by the sensor are going to be treated together in this section.

Starting with the Kinect, it has a FoV of  $57^{\circ}$  horizontally by  $43^{\circ}$  vertically. The maximal range is  $\sim 10m$ , with a blind zone from 0 to 50 cm. The ToF camera have a similar maximal range as Kinect, but a narrow FoV ( $47.5^{\circ}$  horizontally by  $39.6^{\circ}$  vertically), the blind zone in front of the ToF camera is almost the same as the Kinect.

In a different way, the LRF's have only a linear FoV between  $180^{\circ}$  and  $270^{\circ}$ , depending on the hardware. When it's used to scan 3D environments, it's

mounted over a pan or tilt unit, in order to cover the surface of the complementary axe. When this is done, it is common to use only 180° and the perpendicular amplitude depends on the (pan/tilt) unit and/or its configuration.

We use a PR2 robot from Willow Garage, that allows tilting from  $-40^{\circ}$  to  $40^{\circ}$ , centered at the horizontal position. On this robot, the tilting LRF can be switched between two preconfigured modes of acquisition, the first mode provides scans with a FoV of  $180^{\circ}$  without data intensities; the second mode provides scans of only 95° with data intensities. The maximal range for the Hokuyo LRF in the PR2 robot is 30*m*, it's has a blind zone of only 10*cm*.

Although, the range of data provided from Kinect goes from 50cm to 10m, it is considered that depth data beyond 3.5m are useless, or at least for 3D environment modeling, as mentioned in (Trevor et al., 2012). The main problem with these data, it's the method used for the discretization of depth measures; problem that will be treated later on this section.



Figure 4: Horizontal surface area covered by the FoV and maximal range of each sensor. In Green are showed the Kinect, in blue the SR3000 and in red the LRF. The small region in black represents the blind zone for Kinect and ToF camera.

In figure 4 are showed the horizontal FoV for the three sensors together with their maximal depth range. Here, has been considered only the usable region of 3D data for the Kinect sensor. In other words, data inside the regions shown are considered to have enough accuracy to be treatable. As can be clearly seen, the covered zone by the laser range finder is clearly larger than the region covered by 3D cameras.

For this horizontal projection, the areas covered for corresponding sensors are:  $1413.71m^2$  for the Hokuyo LRF,  $12.31m^2$  for the Kinect and  $41.34m^2$  for the SR3000. It results difficult to evaluate, the effective volume covered by the FoV and maximal range, because it depends directly on the type of environment and objects present.

It results obvious, that corresponding to the FoV and maximal range of sensors, the LRF cover a greater region; however, this consideration it is not enough to select it as the appropriate sensor. As it has been said, the acquisition modes for mentioned sensors are different, in addition, there are other features that have to be considered.

#### 3.2 FoV Resolution

To build an efficient map or model, the spacial resolution of data has a major effect on the final result. A very high resolution (dense maps) can create problems with data processing and computation time required; while a low resolution can cause the lose of details.

The Kinect sensor has a resolution of  $640 \times 480$  (pixels), while for the ToF camera is  $176 \times 144$  (pixels). The angular resolution for each 3D camera can be obtained directly from the FoV. Considering the FoV for both sensors, the Kinect and the SR3000, as a rectangular projection, it is clear than resolution can be considered homogeneous inside the corresponding FoV for both cameras.

In the case of the LRF, we can not talk about a rectangular resolution (height×width); in fact, the form of the FoV for the tilting LRF on PR2 is a wedge of sphere, as it is show in Fig 5. While, the horizontal angular resolution is well defined  $(0.25^\circ)$ , the vertical resolution depends on tilt unit speed. Vertical resolution can be adjusted by controlling both the amplitude and the period of the motion from the tilt unit.



Figure 5: The planar projection of the FoV for the tilting LRF, the gray scale represents the distribution of sampling points, where the black correspond to the highest concentration.

We have chosen a vertical amplitude of  $80^{\circ}$  (- $40^{\circ}$  to  $40^{\circ}$ ) with a period of 8 seconds; therefore, the laser completes the  $80^{\circ}$  area twice in a period (once downwards and once upwards), which means that we have a complete 3D image every 4 seconds. Then, we have an angular speed of 20 *deg/sec* for the tilt unit. Being the frequency of data acquisition 40Hz, we get a vertical resolution of  $0.5^{\circ}$ , the double of the linear resolution.

If it is required a finer resolution, the period can be increased, however it need to be considered that if robot is moving, the different linear scans should be acquire at very different positions. This is the reason why many works dealing with 3D LRF scans does what is called *stop-and-go*.

Nevertheless, as the angular surface covered by

the tilting LRF is a wedge, there are regions of it, where the resolution are greater than others. As we can see in figure 5 the ends of the wedge concentrate a very high number of laser samplings.

In figure 6, are showed the projections of the three fields of view. The FoV of the LRF have been cut to consider only  $95^{\circ}$  of the linear sampling. As can be seen in this figure, the covered surface of the LRF is greater than others two sensors. In the overlapped region, the LRF has the less homogeneous sampling region represented here by the gray scale. While the regions covered by Kinect and SR3000 are homogeneously sampled, the Kinect has more than 3 times the spatial resolution than the SR30000.



Figure 6: Planar projections of the FoV: in gray scale is the FoV ( $95^{\circ} \times 80^{\circ}$ ) for the LRF, green rectangle correspond to Kinect ( $57^{\circ} \times 43^{\circ}$ ) and blue to the SR3000 ( $47.5^{\circ} \times 39.6^{\circ}$ ).

Even than, the ToF camera has the lowest resolution between the 3 sensors, it has been used in some works for mapping and SLAM, as in (May et al., 2009),

The Kinect has the better angular resolution, however it has the problem of accuracy in the regions beyond the 3.5 m. We will discuss this point with more details in a next part of this paper.

### 3.3 Discretization of Depth Measurements and Accuracy

Depending on the hardware, depth data are coded and returned in a different way. We refer in this work, the discretization of depth measurements, as the way as different hardware codify and return depth measures.

The LRF and the ToF camera codify the depth measure as a single float value. In this way, the discretization step between two consecutive measures is uniform; being greater the uncertainty ( $\pm 50mm$  for the LRF and  $\pm 10mm$  for the SR3000) than the depth step discretization.

In an opposite way, Kinect assigns 11 bits to each depth value returned. 10 bits are used to codify depth values, corresponding to only 1024 levels of depth and the 11th bit is used to signal a non disparity measure or a depth measure error (Khoshelham and Elberink, 2012), represented by NaN (*Not-a-Number* value).

The difference between two successive levels of depth values is not constant; as it is shown in figure 7, it follows a quadratic function. In other words, the empty space between layers becomes greater each time points are farther from sensor. These layers form flat slices perpendicular to the Z axe (the optical axe of sensor). The distance between slices begins with few millimeters and it's increased up to 25cm at 10m.

This is the reason why, most of works take into consideration only points with depth values lower than a certain threshold; where the empty space between layers can be accepted. Most of the works, take this limit as 5m, where distance between layers is lower than 10cm; however, in other works, as in (Trevor et al., 2012), this limit was chosen equal to 3.5m; region where the distance between bands is lower than 5cm, the uncertainty of LRF.



Figure 7: Depth step discretization in function of the depth.

In figure 8 are shown, the 3D points corresponding to a wooden board of size of  $2m \times 1m$ , acquired at different distances.

We can see clearly the effect of the non uniformity of depth discretization. The point cloud can be accepted for 4m distance, but for above of 5m, it can not be, because of wide gaps between flat slices.

#### 3.4 Data Structure

We refer to data structure as the way as the raw data are provided by each sensor, before processing it.

3D cameras as its name refers, return a structured matrix or image of N by M; depending on sensor, as have been described previously, data are coded in a different way. Kinect returns an image where each pixel is a value of 11 bits, and for the SR3000 each pixel is a single float value. Kinect sensor also returns an RGB image corresponding to the same region, so RGB-D data can be recovered from it.

The case of the LRF is different, the measurements are in form of scan lines with depth information coded in single float values, that can be transformed to 3D Cartesian coordinates. The use of a scan line, can not be exploited to build 3D model because all 3D points are aligned, we can get segments as in (An et al., 2012) but not planes; This is the reason why most of works collect set of lines together, to create an image that will be exploited as depth image; but to create a depth image as it is acquired by a 3D camera it is needed to keep the robot stopped, what it is called the *stop-and-go* method.

In a practical point of view, it is more convenient to use 3D cameras than to hold the mobile robot stopped for a while to get the complete depth image. However, due to its restricted FoV it should be required more 3D images to cover the complete scene as it should be recovered from LRF.

#### 3.5 Memory Space

The memory space required for each sensor depends on most of the previous characteristics, specially the resolution and data structure. The data we talking about in this part is not the color images or the depth, but the Cartesian data (x, y, z), required to build the model.

Estimating the memory space consumed by each sensor helps us to find the memory space necessary to keep data of the whole environment. We have tried to estimate memory space for each sensor by assuming that data are represented as floats of 32 bits.

We find that, Kinect uses about 3.51 Mb/image, ToF camera uses 297 Kb/image and for LRF we have 8.43 Kb/line. It is clear that Kinect require a larger space than the other two, for LRF, the memory space required for a whole image is 1.31 Mb/image, with  $0.5^{\circ}$  as vertical resolution and  $80^{\circ}$  as FoV, which means 160 lines per image.

At at first sight, the SR3000 ToF camera has the lowest memory consummation, but we have to remember that the FoV of one image of LRF is approximately equal to 8 images of ToF camera.

The estimation presented here is for one image, that can be sufficient to model an object, but for a large environment it is required to get more data. With frequency of 30 Hz for the 3D cameras, the estimation of memory space required is about gigabytes only for a few minutes, even if not all images are taken in to consideration.

The accumulation of 3D data is only needed when real time processing is not possible, so we need to store data to process it off-line. Otherwise, if data is process in real-time is possible to extract a high level



Figure 8: 3D points corresponding for wooden board at different distances from the sensor. 2.5m (left), 4m (middle), 5m (right) from the sensor.

features with lower memory consummation, as sampling points on planar faces (surfels) or by keeping just the concave or convex hull polygon of the plane.

#### 4 DISCUSSIONS

As we have seen, all characteristics are correlated and any choice based on one criterion can influence other ones. For example if we choose the Kinect for its high resolution, we have to deal with the problem of memory space and the time of processing; so it could be required to downsample data, decreasing the resolution. In addition, there is the depth discretization effect, that makes the built surfacic representation less accurate. The ToF camera has a good precision but its narrow FoV makes it unsuitable for large environment modeling.

In opposite of the two 3D cameras, the tilted LRF gives the possibility to adjust many parameters as resolution and FoV. But the difficulty is that points acquired only on a line do not give information about the 3D scene structuration. If lines must be accumulated to build a 3D image like the ones acquired by the Kinect or ToF cameras, it could require a stop-and-go strategy. But, we want to model the environment on the fly, i.e. without stopping the robot to acquire data.

We proposed a way to get 3D information from scan lines without stopping the robot, by transforming points to the world frame using the successive robot position, then accumulating lines in a buffer of three lines used as a sliding window. This buffer could be larger, but three lines at least are required to estimate the normal vector on every 3D point; more lines could improve the estimation, but could prevent from real time processing. The three-lines buffer is shifted each time a new line is acquired. The data in the buffer can be processed by estimating the normal vectors, and then, by finding the planes by any method. It allows to accumulate planar surfels instead of building a 3D image to be processed later.



Figure 9: The apartment.

To evaluate each sensor that could be used on a robot devoted to the domotic applications, acquisitions have been done inside an simulated flat built in our experimental room for this reason. The robot has moved inside and around the apartment (Figure 9). Figures 10 and 11 present top views of data accumulated during these motions using either the Kinect or the tilted Hokuyo sensors; the robot has learnt a map off line, using the Gmap ROS node, so that acquired data are only transformed to be expressed in a global reference frame, without extra registration process. The discretization effect due to the Kinect poor resolution for far planes, appears clearly.

Figure 12 shows a simulation result on data acquisition with the tilted Hokuyo sensor during the motion of our robot inside a room. The standard tuning for the pan scanning gives a 180° range and a 0.25° angular resolution on each line, i.e. 720 3D points acquired at 40Hz (25*ms* for one scan). The periodic trajectory of twice the 80° is executed in 8 *sec*, 4 *sec* for a complete scan, i.e. two pan scanning are acquired for one degree for the tilt scanning. The 80° range is selected from  $+40^{\circ}$  to  $-40^{\circ}$  with respect to the horizontal plane. Data are acquired successively while the LRF moves either upwards or downwards. Acquired data are corrected on the fly, so that all points are expressed in the environment reference frame (defined

when the map has been learnt by the Gmap node), using the TF and the AMCL ROS nodes to exploit the odometry data and the robot localization. Each point is transformed using the interpolated robot position and laser beam orientation; it can be seen that data are acquired on-the-fly without adding artefacts



Figure 10: Top view of the point cloud accumulated from Kinect, while the robot explores the apartment. The trajectory traversed by the robot is in red.



Figure 11: Top view of the point cloud accumulated from the tilted LRF, while the robot explores the apartment. The trajectory traversed by the robot is in red.



Figure 12: A point cloud acquired on the fly during a 4m. robot motion using the HOKUYO sensor.

### **5** CONCLUSIONS

This paper has presented the evaluation of three 3D sensors that could be used for the 3D modeling of large scale indoor environments: a tilted Laser RangeFinder, a Kinect RGB-D camera and a ToF camera. We have presented the main characteristics of each sensor; the more important ones are the field of view, the maximal range, the angular resolution, the depth step discretization, the data structure and the required memory space. Some of these characteristics can be tuned, some other ones depend on the technology: all ones have an influence on the mapping result. Other characteristics have also an effect on the quality of acquired data, like intrinsic parameters and the calibration process for the cameras, that can improve the sensor precision with few millimeters and filter or correct some wrong measurements; it is assumed here that sensors are calibrated off line in an optimal way.

In large environments, the covering zone is one of the most important factors; a short range and/or a narrow FoV make a sensor blind or short-sighted, and oblige us to make a lot of acquisitions to cover the whole environment by executing many motions, making the final result more sensitive to localization errors. In addition to make robot exploration longer, another consequence is the large amount of data to store, so the problem of memory space needed to store all data.

So the two 3d cameras have been rejected because of their narrow FoV. It remains only the tilted LRF with its wide FoV, but with some other drawbacks: (1) it is originally a 2D sensor, so scanning is mandatory, and (2) it does not give information about surface appearances (color), even if reflectance data are made available by some LRF. Considering the scanning problem, a method is proposed to acquire data without a classical stop-and-go strategy, by using a sliding window to accumulate lines in a buffer of three lines and by correcting all points using odometry and localization data provided by other modules. By this way, we are able to use the tilted LRF sensor as a 3D sensor in order to acquire point clouds and process it in real time, while the robot explores the environment. In-going works consider texture mapping from images acquired by cameras embedded on the robot.

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